

# Orthopedic surgery modulates neuropeptides and BDNF expression at the spinal and hippocampal levels

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**Pain is a critical component hindering recovery and regaining of function after surgery, particularly in the elderly. Understanding the role of pain signaling after surgery may lead to novel interventions for common complications such as delirium and postoperative cognitive dysfunction. Using a model of tibial fracture with intramedullary pinning in male mice, associated with cognitive deficits, we characterized the effects on the primary somatosensory system. Here we show that tibial fracture with pinning triggers cold allodynia and up-regulates nerve injury and inflammatory markers in dorsal root ganglia (DRGs) and spinal cord up to 2 wk after intervention. At 72 h after surgery, there is an increase in activating transcription factor 3 (ATF3), the neuropeptides galanin and neuropeptide Y (NPY), brain-derived neurotrophic factor (BDNF), as well as neuroinflammatory markers including ionized calcium-binding adaptor molecule 1 (Iba1), glial fibrillary acidic protein (GFAP), and the fractalkine receptor CX3CR1 in DRGs. Using an established model of complete transection of the sciatic nerve for comparison, we observed similar but more pronounced changes in these markers. However, protein levels of BDNF remained elevated for a longer period after fracture. In the hippocampus, BDNF protein levels were increased, yet there were no changes in Bdnf mRNA in the parent granule cell bodies. Further, c-Fos was down-regulated in the hippocampus, together with a reduction in neurogenesis in the subgranular zone. Taken together, our results suggest that attenuated BDNF release and signaling in the dentate gyrus may account for cognitive and mental deficits sometimes observed after surgery.**

postoperative pain | nerve injury | memory | delirium | neurogenesis

Fractures represent a common clinical problem and remain a leading cause of morbidity, in particular among the rapidly growing elderly population requiring surgery (1). Although generally well-tolerated, orthopedic surgery is traumatic and may lead to poor functional outcomes, including mortality and prolonged recovery and rehabilitation, especially in frail aged patients with concurrent medical problems (2, 3).

Postoperative pain, not rarely developing into chronic pain, is a common disabling complication after surgery, which dramatically reduces quality of life and represents a major burden for both patients and society. Poorly managed postoperative pain is a leading cause of acute confusional state (delirium) (4), which affects more than 50% of patients undergoing hip-fracture repair (5). Notably, 10 to 26% of surgical patients retain subtle but persistent learning and memory deficits, referred to as postoperative cognitive dysfunction (POCD) (6), which results in further morbidity and greater risks for permanent dementia (7). Cognitive impairment is a critical component of the pain experience (8), and patients with chronic pain also suffer from deficits in learning and memory (9). Recent studies have independently

shown hippocampal abnormalities in animal models of neuropathic pain and reduced hippocampal volume in elderly patients with chronic pain (10–12). Moreover, changes in regional brain volume, including hippocampal and cortical atrophy, have also been related to POCD (13), although the etiology of this phenomenon remains poorly understood.

Tibial fracture is an established experimental rodent model to study clinically relevant orthopedic procedures (14) and a variety of clinical pathologies ranging from postoperative pain, complex regional pain syndrome, and POCD to bone cancer (15–19).

After fracture, nociceptive sensations have been associated with excessive substance P signaling and exaggerated regional inflammatory responses leading to the increased release of systemic proinflammatory cytokines such as tumor necrosis factor alpha and interleukin 1 beta (18, 20, 21). Similar changes in proinflammatory cytokines and activation of nuclear factor κB signaling in macrophages have been associated with changes in blood–brain barrier permeability, hippocampal neuroinflammation, and subsequent cognitive impairment in mice after tibial fracture (22–24). However,

## Significance

**Orthopedic surgery sometimes causes persistent pain and, especially in the elderly, delirium and cognitive dysfunction. Using an established mouse bone-fracture model associating with memory deficits, we assessed pain behavior and expression of several molecules in sensory neurons, spinal cord, and brain. An increase in cold sensitivity and up-regulation of several injury markers, including activating transcription factor 3, the neuropeptide galanin, and growth factor brain-derived neurotrophic factor (BDNF), were observed in sensory ganglia. In the hippocampus, BDNF protein levels were increased in mossy fibers. In contrast, the Bdnf transcript was not increased in the parent granule cell bodies, and c-Fos levels were decreased, as was neurogenesis. Thus, impaired hippocampal BDNF signaling may contribute to mental deficits observed after surgery.**

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whether there are neuronal substrates for pain and cognitive impairment after tibial fracture remains largely unknown.

Here we therefore characterized the effects of tibial fracture with intramedullary pinning on the primary somatosensory system, including dorsal root ganglia and spinal cord, by combining pain behavioral tests with histochemical analyses of an array of markers for nerve injury, including neuropeptides, brain-derived neurotrophic factor (BDNF), and c-Fos for a period of 2 wk postoperatively. For comparison, the same markers were assessed after a complete transection (axotomy) of the sciatic nerve. We also analyzed these markers in the brain with special focus on the hippocampal formation to explore a possible relationship between peripheral surgery, pain, and cognitive deficits. Taken together, our results show major and distinct changes of pain-related markers at the spinal level and in the hippocampal formation, providing a new view of the complex and widespread effects induced by tibial fracture with pinning.

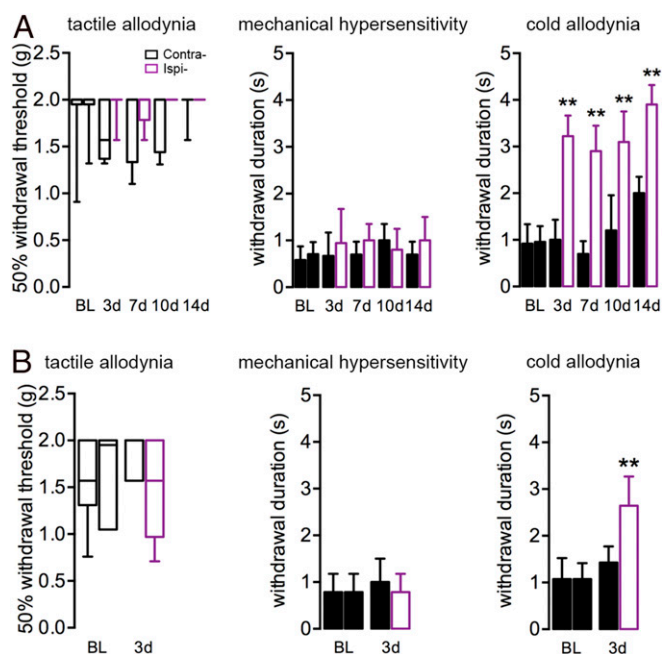
## Results

**Tibial Fracture with Pinning Induces Cold Allodynia.** Neuropathic pain resulting from injury to peripheral nerves is a common clinical consequence of surgery (15). In animal models, neuropathic pain is characterized by changes in tactile, cold allodynia, and mechanical hypersensitivity, as induced for example by partial nerve injury to the sciatic nerve (spared nerve injury) (25). To test whether tibial fracture with pinning triggers pain behavior, we performed von Frey hair (nonnoxious tactile stimulus), pinprick (noxious mechanical stimulus), and acetone (cold stimulus)-induced behavioral sensitization tests. Mice showed robust cold allodynia 3 d after orthopedic surgery, which lasted for at least 2 wk (Fig. 1A). However, neither mechanical hypersensitivity nor tactile allodynia was observed until the end of 2 wk (Fig. 1A). In fact, no response to the cutoff value of von Frey hair stimulus was observed ipsilaterally. Furthermore, the sham group (no bone pinning or fracture) also developed modest cold allodynia but neither tactile allodynia nor mechanical hypersensitivity (Fig. 1B).

### Nerve Injury in Tibial Fracture with Pinning Compared with Axotomy.

To estimate the severity of nerve damage, we compared the expression of several markers in neurons, such as activating transcription factor 3 (ATF3), the neuropeptides galanin and neuropeptide Y (NPY), and the growth factor BDNF as well as the three glial markers glial fibrillary acidic protein (GFAP) (astrocytes), ionized calcium-binding adaptor molecule 1 (Iba1) (macrophages/microglia), and the fractalkine receptor CX3CR1 (microglia), in dorsal root ganglia (DRGs) and spinal cord with the effects of axotomy, a classical model of “severe” peripheral nerve injury.

**Neuronal Markers.** ATF3 was usually not detected, or only occasionally, in control DRG neurons (Fig. 2A and B). ATF3 was up-regulated in  $35 \pm 10\%$  of neuron profiles (NPs) as early as 24 h after tibial fracture with pinning and up to  $45 \pm 9\%$  of NPs after 3 d, but dropped to  $13 \pm 4\%$  after 2 wk (Fig. 2A and E). In axotomized DRGs,  $62 \pm 3\%$  of NPs were ATF3-immunoreactive (IR) after 24 h and up to  $76 \pm 5\%$  after 3 d, and this level was essentially maintained at 2 wk ( $63 \pm 9\%$ ) (Fig. 2B and E). Motor neurons in the spinal ventral horn started to express ATF3 24 h after injury in both orthopedic and axotomy models, remaining elevated at least for 2 wk, although less so after fracture (Fig. 2C and D). In the tibial fracture sham group, a small population of ATF3-IR neurons was also observed in DRGs ( $9 \pm 4\%$ ) (Fig. 2F) but not in motor neurons (Fig. 2G). Furthermore, ATF3-like immunoreactivity (LI) was observed in a number of small nuclei (rod-shaped) tightly surrounded by GFAP-LI, presumably in Schwann cells, 2 wk after axotomy (Fig. 2H–J). This was also observed after tibial fracture with pinning, but in fewer cells (Fig. 2K).



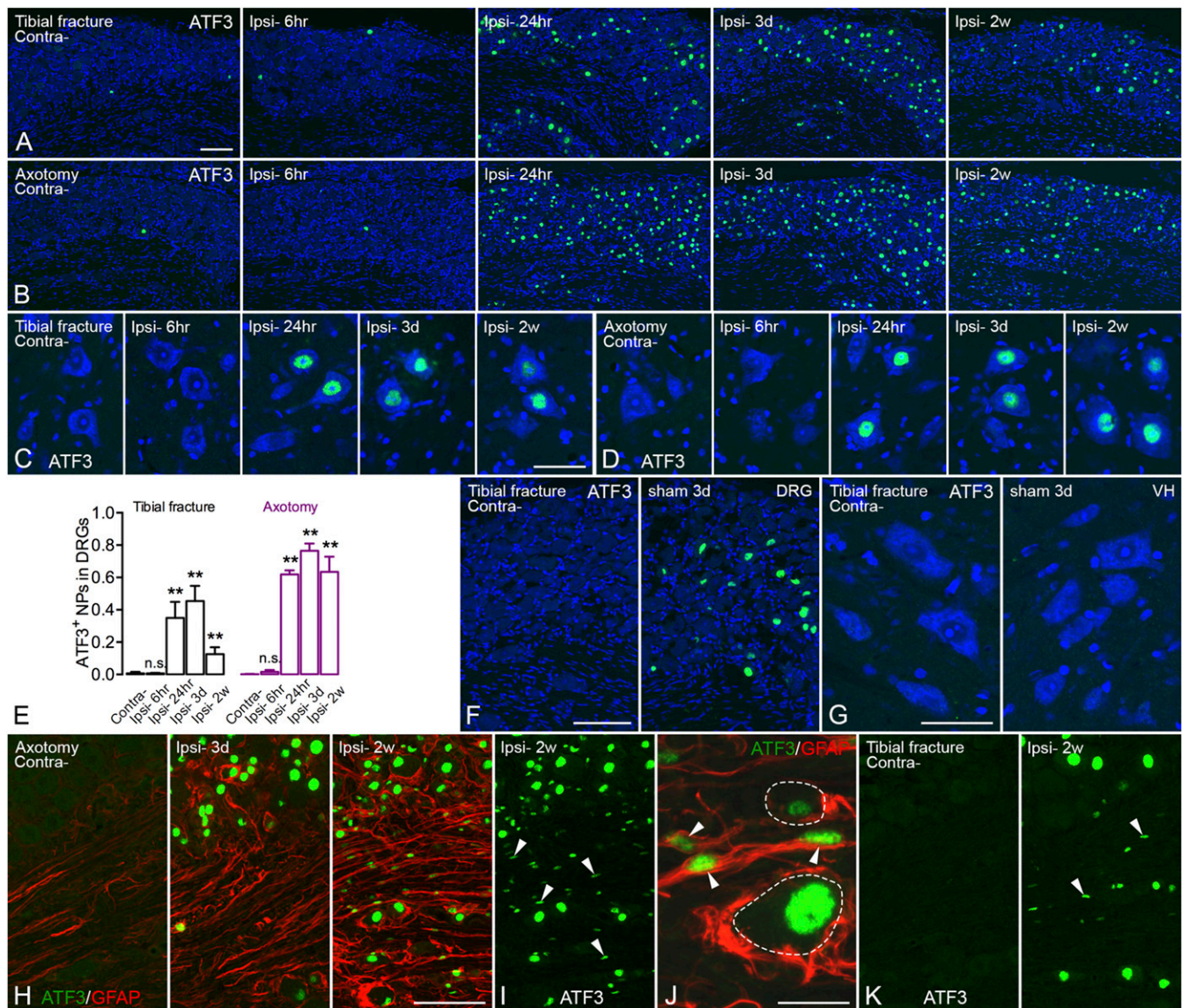
**Fig. 1.** Cold allodynia triggered by unilateral tibial fracture. (A) Mice with fracture do not develop tactile allodynia or mechanical hypersensitivity after surgery but have exaggerated responses to cold stimulus from day 3 (3d), lasting for at least the 14 d (14d) that were recorded in this study. (B) Mice processed for sham surgery also develop mild cold allodynia. BL, baseline; Contra, contralateral; Ipsi-, ipsilateral. Data for tactile allodynia from von Frey filament tests (nonparametric data) are represented as box and whisker plots (analyzed with unpaired Mann–Whitney test), whereas withdrawal durations for pinprick and acetone stimuli are represented as mean  $\pm$  SD. Experimental group,  $n = 5$ – $9$ ; sham group,  $n = 6$ .  $**P < 0.01$ ; analyzed with unpaired  $t$  test.

A basal expression level of galanin-LI was found in 5 to 10% of DRG neurons. Three days after orthopedic surgery, the proportion of galanin-IR NPs was increased ipsilaterally from  $14 \pm 3\%$  to  $48 \pm 8\%$ , but dropped to  $16 \pm 4\%$  after 2 wk (Fig. 3A and G). After axotomy, the levels of galanin-LI were increased after 3 d and remained up-regulated for 2 wk, although slightly reduced (3 d  $63 \pm 3\%$  vs. 2 wk  $49 \pm 2\%$ ) (Fig. 3B and G). In the spinal dorsal horn, there was an increase of galanin-LI, also in motor neurons (Fig. S1A–D). The increase in the dorsal horn was evident in the deeper layers, and was stronger after axotomy than tibial fracture as measured both at 3 d and 2 wk (Fig. S1A and B).

NPY is challenging to detect in DRG neurons with immunohistochemistry (IHC). The expression of NPY was slightly increased in DRGs 3 d after tibial fracture with pinning ( $10 \pm 2\%$ ) and was maintained for at least 2 wk ( $7 \pm 3\%$ ) (Fig. 3C and H). The increase of NPY-IR NPs after axotomy was also long-lasting but much stronger after 2 wk (3 d  $15 \pm 5\%$  vs. 2 wk  $37 \pm 9\%$ ) (Fig. 3D and H). In the spinal cord, a clear increase in NPY-LI could be seen in motor neurons, both after orthopedic surgery and axotomy, but no evident changes were observed in the dorsal horn (Fig. S1E–H).

For BDNF, the expression was increased in DRG neurons 3 d after tibial fracture with pinning and maintained for 2 wk, even if slightly reduced (3 d contralateral  $26 \pm 5\%$  vs. ipsilateral  $52 \pm 5\%$  and 2 wk contralateral  $20 \pm 2\%$  vs. ipsilateral  $36 \pm 5\%$ ) (Fig. 3E and I). Also after axotomy, BDNF was up-regulated after 3 d (contralateral  $26 \pm 6\%$  vs. ipsilateral  $39 \pm 2\%$ ) (Fig. 3F and I), but this up-regulation did not persist after 2 wk, and in fact was reduced below controls (contralateral  $28 \pm 5\%$  vs. ipsilateral  $17 \pm 6\%$ ) (Fig. 3F and I), contrasting the effect of orthopedic surgery (Fig. 3E and I). Morphometric analysis of BDNF-IR neurons showed a slight effect in the shift of small- to large-sized neurons after axotomy (Fig. S2A and B), similar to a previous





**Fig. 2.** Activation of ATF3 in DRGs after unilateral tibial fracture. (A) Expression of ATF3 (green) is induced in DRGs 24 h after tibial fracture and declines after 2 wk, whereas contralateral DRGs do not (or very rarely) express ATF3. (B) Axotomy induces ATF3 expression in a larger population of DRG cells, essentially neurons, after 24 h, and is maintained for at least 2 wk. (C and D) Motor neurons in the spinal ventral horn start to express ATF3 at 24 h, lasting for at least 2 wk in both tibial fracture and axotomy models. (E) Quantification of the number of ATF3-IR neuronal nuclei after tibial fracture and axotomy. (F and G) In the sham group, ATF3 is induced in a small population of DRG neurons but not in spinal motor neurons (3 d). (H) Overview of double labeling with ATF3 (green) and GFAP (red) in DRGs 3 d and 2 wk after axotomy. (I and J) Overview of weakly activated ATF3 (green) in Schwann/satellite cells (indicated by arrowheads) after 2 wk, and high magnification of double labeling of ATF3 (green) and GFAP (red), where arrowheads indicate coexpression. (K) Overview of ATF3 (green) in DRGs after 2 wk of tibial fracture (arrowheads indicate a few rod-shape nuclei, as in I and J). Counterstaining with propidium iodide (blue) (A–D, F, and G).  $n = 37$ ;  $n = 4, 5, 4,$  and  $5$  for axotomy groups (different time points from shortest to longest, respectively);  $n = 3, 6,$  and  $4$  for tibial fracture groups. Data are represented as mean  $\pm$  SD.  $**P < 0.01$ ; analyzed with unpaired  $t$  test. n.s., no significant difference. [Scale bars, 100  $\mu$ m (A and B), 50  $\mu$ m (C and D), 100  $\mu$ m (F), 50  $\mu$ m (G), 100  $\mu$ m (H, I, and K), and 20  $\mu$ m (J).]

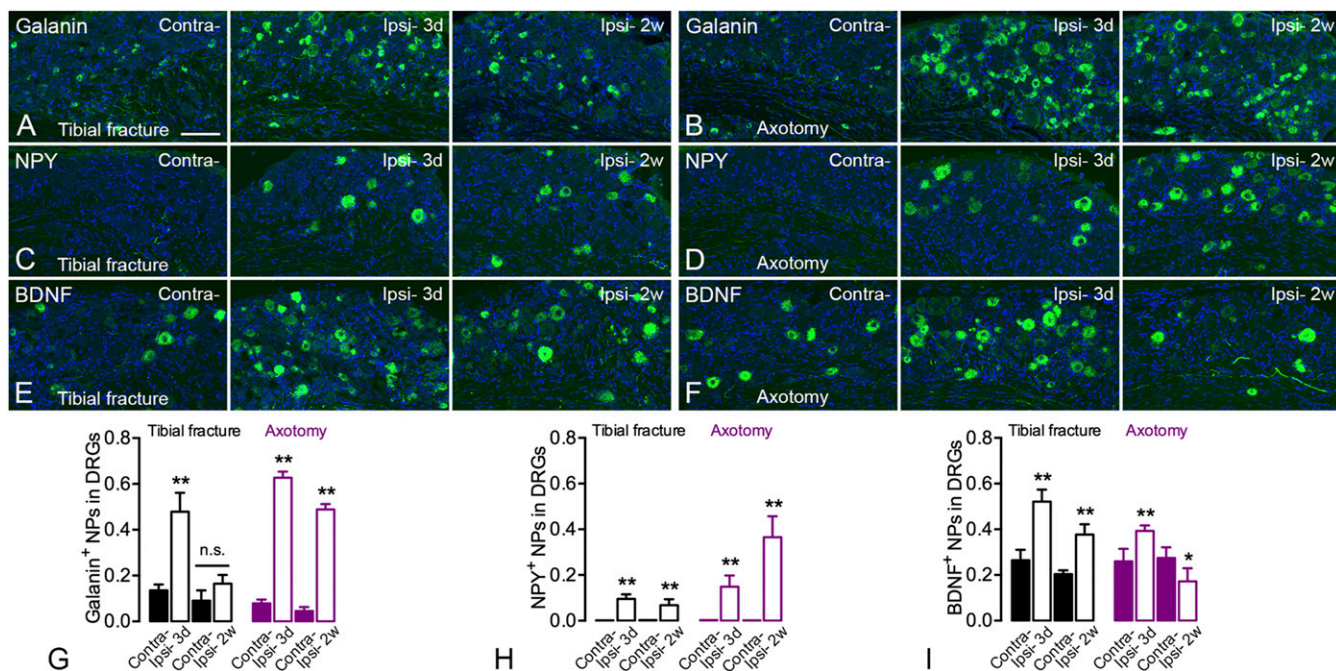
study in rat DRGs (26), but this shift was not observed following tibial fracture. In the spinal dorsal horn, BDNF-LI was increased in both models, although stronger after 3 d than 2 wk (Fig. S2 C and D).

**Glial Markers.** After tibial fracture with pinning, Iba1 was increased (intensity of Iba1-LI) in DRG microglia/macrophages 3 d after surgery (contralateral  $1.00 \pm 0.22$ -fold vs. ipsilateral  $1.75 \pm 0.63$ -fold) with sustained activity even after 2 wk (contralateral  $1.06 \pm 0.36$ -fold vs. ipsilateral  $2.14 \pm 0.48$ -fold) (Fig. S3 A and C). However, the activation was more evident after axotomy (3 d contralateral  $1.00 \pm 0.43$ -fold vs. ipsilateral  $5.26 \pm 0.71$ -fold and

2 wk contralateral  $1.18 \pm 0.33$ -fold vs. ipsilateral  $4.73 \pm 1.21$ -fold) (Fig. S3 B and C). In the dorsal horn, microglial activation was transient after tibial fracture with pinning but long-lasting after axotomy (Fig. S4 A and B). A similar pattern was also observed in the ventral horn, but exclusively around motor neurons (Fig. S4 C and D).

The up-regulation of GFAP-LI in satellite glia cells of DRGs was observed 3 d and 2 wk after orthopedic surgery (Fig. S3 D), again more profound after axotomy than tibial fracture with pinning (Fig. S3 D and E). Up-regulation of GFAP-LI was also observed in Schwann cells both after tibial fracture with pinning and axotomy (Fig. S3 D and E). A modest astrocyte activation was seen in the dorsal horn and around motor neurons after





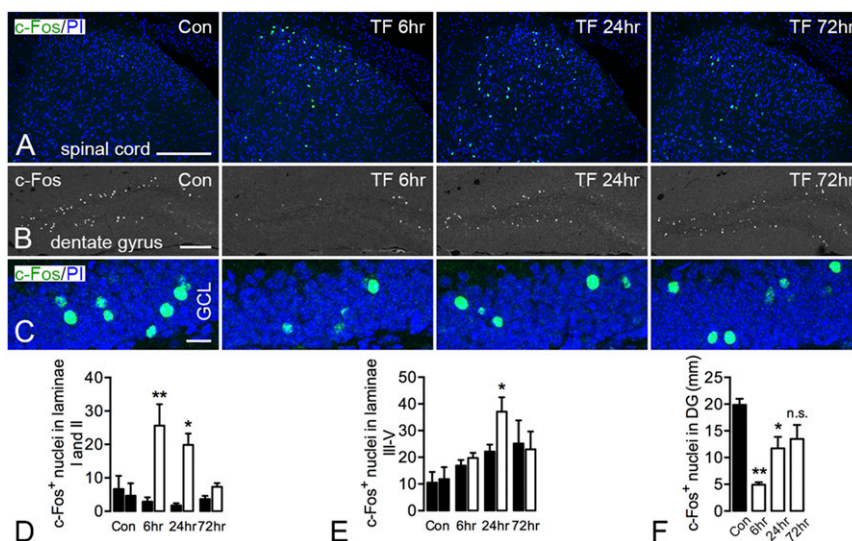
**Fig. 3.** Increased expression of galanin, NPY, and BDNF in DRG neurons after unilateral tibial fracture. (A–D) Both galanin- (A and B) and NPY- (C and D) LIs show increased expression in DRG neurons, more so after axotomy than fracture. The quantification of neuron profiles is shown in G and H, respectively. (E and F) BDNF-LI is increased in DRG neurons after fracture but only weakly increased after 3 d of axotomy and not at all after 2 wk, as shown by the quantification in I. Neuronal markers are in green; counterstaining with propidium iodide is in blue.  $n = 19$ ;  $n = 4$  and  $5$  for axotomy groups;  $n = 4$  and  $6$  for tibial fracture groups. Data are represented as mean  $\pm$  SD. \* $P < 0.05$ , \*\* $P < 0.01$ ; analyzed with unpaired  $t$  test. (Scale bars, 100  $\mu$ m.)

tibial fracture with pinning (Fig. S5 A and C), with a somewhat more robust activation after axotomy (Fig. S5 B and D). The latter response was stronger at 2 wk than 3 d (Fig. S5 B and D).

The fractalkine receptor (CX3CR1), mediating inflammatory and neuropathic pain (27, 28), was up-regulated in DRGs (Fig.

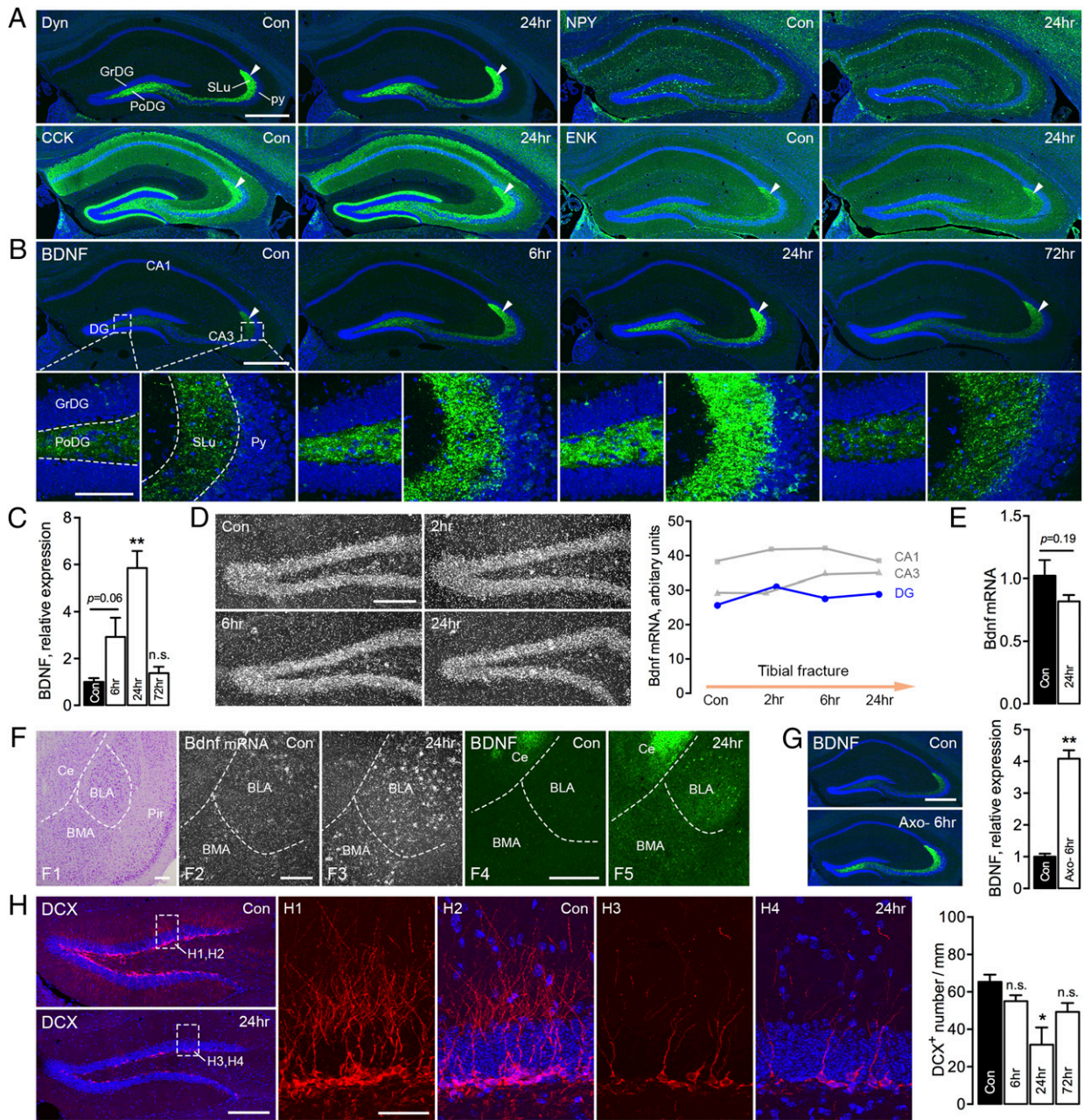
S6 A–E) and spinal dorsal horn (Fig. S6 F–J) 3 d after tibial fracture with pinning, coinciding with the expression of Iba1 (Figs. S3 A and S4 A and B).

For a direct and convenient comparison between orthopedic surgery and axotomy, detailed quantification of the neuronal



**Fig. 4.** Modulation of c-Fos expression in the spinal cord and hippocampal formation after unilateral tibial fracture. (A) Robust activation of c-Fos in the superficial layers (laminae I and II) of the dorsal horn peaking at 6 h after fracture and declining to basal levels after 72 h. The activation of c-Fos in deep layers of the spinal cord appears increased bilaterally, but significance is reached only at 24 h compared with the contralateral side. (B and C) c-Fos expression in granule cells of the dentate gyrus is decreased transiently (6, 24 h) after tibial fracture, returning to basal levels after 72 h, as substantiated by quantification. Only the number of c-Fos-positive nuclei was counted, without considering intensity. Projection pictures in C are produced from 12- to 14- $\mu$ m z-stack scanning with an interval of 2  $\mu$ m. Con, control; GCL, granule cell layer; PI, propidium iodide; TF, tibial fracture.  $n = 14$ ;  $n = 3$  for the control group;  $n = 4$  for both 6- and 24-h injury; and  $n = 3$  for 72-h injury. Data are represented as mean  $\pm$  SD. \* $P < 0.05$ , \*\* $P < 0.01$ ; unpaired  $t$  test was applied for c-Fos in the spinal cord, and one-way ANOVA followed by Dunnett's multiple comparison test was applied for c-Fos in the hippocampus with tibial fracture. [Scale bars, 200  $\mu$ m (A and B) and 20  $\mu$ m (C).]





**Fig. 5.** BDNF and neuropeptide expression in the brain after unilateral tibial fracture. (A) Overview micrographs of dynorphin (Dyn; green), NPY, cholecystokinin (CCK), and enkephalin (ENK) in the hippocampus, with emphasis on the polymorph layer of the dentate gyrus (PoDG) and stratum lucidum (SLu) of CA3 from control and mice with tibial fracture (24 h). Arrowheads indicate mossy fibers in SLu. (B) Overview and high-magnification micrographs show increased levels of BDNF-LI (green) in the PoDG and SLu of CA3 from the hippocampal formation at different time points after tibial fracture. Boxed rectangles show PoDG and SLu in CA3. High-magnification micrographs are produced from z-stack scanning with an interval of 2  $\mu$ m (projected from nine series pictures). GrDG, granular layer of the DG; Py, pyramidal layer. (C) Quantification of BDNF-LI in the hippocampus after tibial fracture. (D) Dark-field micrographs of a [ $^{35}$ S]UTP-labeled Bdnf antisense probe in the dentate gyrus after tibial fracture. Quantification of silver particles for Bdnf mRNA is represented by a plot graph. (E) Quantification of Bdnf transcript levels in the hippocampal formation (RT-qPCR) shows the fold changes of Bdnf mRNA levels after tibial fracture. (F) Cresyl violet staining micrograph shows central amygdaloid (Ce), basolateral (BLA), and basomedial (BMA) nuclei and piriform cortex (Pir). Dark-field micrographs show an increased signal for a [ $^{35}$ S]UTP-labeled Bdnf antisense probe, mainly in the BLA, 24 h after tibial fracture (F2 and F3). Confocal pictures show increased BDNF-IR neurons in the Ce and BLA (F4 and F5). (G) Overview micrographs of BDNF-LI in the dentate gyrus 6 h after axotomy, and quantification of BDNF-LI. (H) Overviews show DCX-LI (red) in the dentate gyrus 24 h after tibial fracture. Boxes show projection micrographs from control and 24 h after tibial fracture. Quantification of DCX $^{+}$  neurons shows the number of cell bodies per mm from one airy unit pinhole of 10 $\times$  objective. The high-power micrographs are projected from z-stack scanning of 12- $\mu$ m-thick tissue with an interval of 2.0  $\mu$ m. Counterstaining with propidium iodide (blue) (A, B, and H).  $n = 38$ ;  $n = 4$  and 4 for control and axotomy groups;  $n = 3-4$  ( $n = 14$ ) for control and tibial fracture groups with different time points for IHC;  $n = 4$  and 4 for control and tibial fracture 24 h for qPCR; and  $n = 2$  ( $n = 8$ ) for control and tibial fracture groups with different time points for ISH. Data are represented as mean  $\pm$  SEM. \* $P < 0.05$ , \*\* $P < 0.01$ ; one-way ANOVA followed by Dunnett's multiple comparison test was applied for the intensity of both BDNF and DCX in the hippocampus with tibial fracture. Bdnf qPCR data and the intensity of BDNF in the hippocampus after axotomy were analyzed with unpaired  $t$  test. [Scale bars, 500  $\mu$ m (A and B), 100  $\mu$ m (B, Insets), 200  $\mu$ m (D), 200  $\mu$ m (F), 500  $\mu$ m (G), 200  $\mu$ m (H), and 50  $\mu$ m (H, Insets).]



and glial markers in DRGs is summarized in Table S1 (3 d and 2 wk).

**c-Fos in the Spinal Cord and Hippocampal Formation.** c-Fos, an immediate-early transcription factor (29), was early on shown to be “induced” in the dorsal horn following sensory stimulation (30). After tibial fracture with pinning, c-Fos activation occurred unilaterally in the superficial layer of the spinal dorsal horn from 6 to 24 h, declining to basal levels after 3 d (Fig. 4A and D). However, the activation of c-Fos in deep layers of the spinal cord (laminae III–V) gradually increased on both contra- and ipsilateral sides. However, the increase of c-Fos-positive nuclei in the ipsilateral side was only significant at 24 h after fracture compared with the contralateral side (Fig. 4A and E).

c-Fos is dramatically up-regulated in the hippocampus in response to seizure activity (31). In contrast, in the present model, c-Fos was bilaterally decreased in hippocampal cell layers as early as 2 h after surgery. In the dentate granule cellular layer, c-Fos was decreased 6 h after tibial fracture with pinning, with a gradual return to basal levels after 3 d (Fig. 4B, C, and F). This also contrasts with the acute activation in the spinal cord in the present study.

**BDNF and Doublecortin in the Hippocampal Formation.** Our description of the distribution of BDNF protein (IHC) (32) (same Amgen antibody as used in the present study) and transcript (in situ hybridization; ISH) (33) confirms and is in agreement with early histochemical analyses. After orthopedic surgery, BDNF-LI was distinctly, bilaterally, and transiently increased in the polymorph layer and stratum lucidum of CA3 (mossy fibers), as well as in many other brain regions, such as the subnuclei of the amygdaloid complex (Fig. S7A). A similar pattern was seen after incubation with a second primary antibody (mAb#9), which has been thoroughly characterized and shown to recognize both mature BDNF and proBDNF (34). However, classical neuropeptides, such as dynorphin and NPY, both known to be strongly up-regulated by seizure activity (35), were not modulated by tibial fracture with pinning (Fig. 5A and Fig. S7B–D). This was true also for two further neuropeptides, cholecystokinin and enkephalin (Fig. 5A).

An apparent increase in BDNF-LI was already seen after 6 h (although not significant,  $P = 0.06$ ), peaking at 24 h, and then almost returning to control levels after 3 d (fold changes: control,  $1.00 \pm 0.16$ ; 6 h,  $2.92 \pm 0.82$ ; 24 h,  $5.85 \pm 0.73$ ; 72 h,  $1.38 \pm 0.24$ ) (Fig. 5B and C). However, Bdnf mRNA levels in the dorsal hippocampal formation were not changed when analyzed either by ISH (pyramidal cells, granule cells) at any time point (2, 6, 24 h) (Fig. 5D) or by RT-quantitative (q)PCR (hippocampal samples) at the peak of BDNF protein content (fold changes: control,  $1.02 \pm 0.13$ ; 24 h,  $0.82 \pm 0.05$ ) (Fig. 5E). This result was confirmed in a second ISH experiment measuring Bdnf mRNA levels in all main hippocampal layers (CA1, CA3, granule cells) on X-ray film (Fig. S7E).

An increase in BDNF-LI was also observed in the central amygdala (mainly nerve endings), as was an increased number of BDNF-IR neurons in the basolateral nucleus, an increase also seen in many other brain regions (Fig. 5F and Fig. S7A). However, in contrast to the granule cells/mossy fibers in the dentate gyrus, both Bdnf mRNA and protein levels were increased in the basolateral nucleus (Fig. 5F, F2 and F3 for Bdnf mRNA; Fig. 5F, F4 and F5 show BDNF IHC for comparison). An acute increase of BDNF-LI in mossy fibers was also found after axotomy, peaking at 6 h (fold changes: control,  $1.00 \pm 0.10$ ; 6 h,  $4.09 \pm 0.27$ ) (Fig. 5G), but returned to basal levels already after 24 h. Thus, changes in BDNF protein were in this case more prolonged after tibial fracture than axotomy. Furthermore, the number of doublecortin (DCX)-stained cells and their dendritic processes (IHC) was significantly reduced in the dentate gyrus 24 h after tibial fracture with pinning, returning to control levels after 3 d (Fig. 5H).

## Discussion

For many decades, axotomy and various nerve injury models have been used to study associations between pain-related behaviors and neurochemical changes in, for example, neuropeptide expression at the spinal level. However, from a clinical point of view, bone fracture is highly relevant, especially in the growing elderly population. In the present study, we compared a model of orthopedic surgery with a classical nerve injury (axotomy, complete transection of the sciatic nerve) and evaluated changes in pain behavior up to 2 wk after experimental intervention. Expression of a variety of pain-related and other markers was also analyzed in the somatosensory system and the hippocampal formation using IHC and ISH.

The main findings of this study are that tibial fracture with pinning causes the following: (i) cold allodynia and changes in nerve-injury markers at the spinal level [these changes recapitulate, although to a lesser degree, those seen in the parallel experiments with axotomy. This is likely correlated with the extent of nerve damage to the axons of DRG neurons. Moreover, these findings suggest that, for example, various “pain protective” and/or “regenerative” roles described, for example, for galanin and NPY, in response to nerve injury (36) also are active after tibial fracture]; (ii) expression of BDNF in DRG neurons after tibial fracture remaining elevated after 2 wk, versus having decreased in the axotomy model at this time point; (iii) acute activation of c-Fos in the spinal dorsal horn but decreased c-Fos levels in the hippocampal dentate gyrus; and (iv) a strong and acute increase of BDNF protein levels in hippocampal mossy fibers, paralleled by unchanged Bdnf mRNA in the parent granule cell bodies and a pronounced decrease in staining for DCX, a microtubule-associated protein expressed by neuronal precursor cells and used as a marker for neurogenesis (37). This contrasts with the lack of effect on expression levels of four neuropeptides (dynorphin, NPY, CCK, enkephalin) partly colocalized in the same mossy fibers/granule cells. We interpret the results under (iii) and (iv) to suggest an acute effect of bone fracture on BDNF signaling, neurogenesis, and cognitive processes.

The circuitry involved remains to be defined. An example of a possible pathway from the spinal cord to the forebrain relaying pain could include the paragigantocellular nucleus (38) and the noradrenergic locus coeruleus (39), which widely projects to forebrain areas, including the hippocampal formation (40). Noradrenergic mechanisms in pain modulation have been thoroughly explored (41, 42).

**Development of Cold Allodynia.** Postoperative pain is a major clinical problem with a profound negative impact on patient recovery (43). The prevalence of postoperative pain significantly varies according to different procedures; after total hip or knee arthroplasty, ~6% of patients experience neuropathic, chronic pain (44).

In this study, we investigated an effect of tibial surgery on three different pain-related behaviors, but were only able to detect cold allodynia during the relatively short period studied. This type of allodynia has been described in animal pain models (45–47) and also in patients after hand fracture (48, 49). However, the underlying mechanism(s) of cold allodynia in our model remains unclear.

In other orthopedic surgery animal models (i.e., femoral pin placement and fracture or fracture with casting), spontaneous, palpation-induced nocifensive behaviors and mechanical allodynia were observed (50, 51), contrasting our results where mice did not display secondary tactile allodynia after tibial fracture with intramedullary pinning. For example, Guo et al. (51) reported mechanical allodynia in rats using von Frey filaments after distal tibial fracture with a cast, but only monitored behavior at a chronic stage (4 wk after surgery). For nocifensive behavior in a femoral

pin placement and fracture model, Majuta et al. (50) gently and repeatedly pressed, with thumb and forefinger, the thigh of the fractured hind limb for 2 min. This is different from our protocol of carrying out the von Frey filament test no later than 2 wk after fracture, when the leg is not completely stable (50, 52, 53).

**Regulation of Injury-Sensitive Molecules in DRGs.** ATF3 is a classical marker of nerve injury, as first reported by Tsujino et al. (54). Hill et al. (55) reported that just skin incision, without substantial nerve damage, causes an increase of ATF3, galanin, and other injury markers; ATF3 is found in 2.9% of DRG neurons after skin incision vs. 0.07% in controls. Up-regulation of such markers has been described in multiple conditions, including osteoarthritis, chemotherapy, and other noninvasive procedures, although osteoarthritis and chemotherapy are likely to damage nerves (56–60).

Functionally, ATF3 has been reported to enhance peripheral nerve regeneration (61). ATF3 can also be activated in both DRG neurons and satellite cells after i.v. administration of paclitaxel, an antineoplastic drug (57, 62). In the latter studies, ATF3 is first activated only in DRG neurons and then in clustered satellite cells, similar to so-called nodules of Nageotte, which represent neuronal degeneration/cell loss in DRGs (62). Here we show that bone fracture also induces ATF3 expression in low numbers of Schwann cells and satellite cells located in the axon trunks within the ganglion, without clustering; however, these effects are much more pronounced after axotomy.

The neuropeptide galanin (63), acting via three receptors, Gal<sub>1-3</sub> (64, 65), has also emerged as an injury marker and as an “endogenous analgesic” (66). Galanin shows a dramatic up-regulation in DRG neurons, mainly in the small- and medium-sized population (67, 68), after transection of the sciatic nerve and, as shown here, to a lesser extent following tibial fracture. The apparently transient elevation after fracture may suggest that the antinociceptive effect of this peptide via the Gal<sub>1</sub> subtype receptor (69) also is of limited duration and could contribute to extended pain sensation. Comprehensive studies by the Wynick group have reported a trophic role of galanin via the Gal<sub>2</sub> receptor (70). Galanin has also been implicated in cold allodynia, as found in our study. Intrathecal infusion of a Gal<sub>2/3</sub> agonist can in fact augment the nociceptive response to acetone (69). Moreover, Gal<sub>1</sub>-KO mice exhibit increased cold pain sensitivity (71), also indicating involvement of this receptor, supported by a comprehensive study by Hulse et al. (72). Thus, peripheral administration of galanin, but not of a Gal<sub>2/3</sub> agonist, inhibits pain induced by acetone and menthol in neuropathic and inflammatory models, as does overexpression of galanin in DRG neurons.

NPY (73) is not expressed in DRG neurons under normal circumstances but is expressed abundantly in dorsal horn neurons (74). However, nerve injury causes up-regulation in mainly large, DRG neurons (75). There is evidence for involvement of NPY in cold sensation (46, 76); for example, NPY reduces cold hypersensitivity (77), and NPY is one of eight genes that are regulated in DRGs by repeated cold stress (78).

BDNF (79) modulates a wide variety of functions in the peripheral nervous system, including pain (80, 81), and has a considerable therapeutic potential (82). It is mainly expressed in small- and medium-sized DRG neurons (83). BDNF is increased in DRGs and spinal cord following peripheral inflammation, and is in this situation considered to have pronociceptive effects at the spinal level (84, 85). It is up-regulated by nerve injury in rat DRGs (26), but also shows down-regulation upon long-term nerve injury in neonatal rat DRGs (86). In contrast to inflammatory conditions, if BDNF levels are increased by nerve injury (neuropathic pain) or by exogenous administration/viral overexpression in the spinal cord, then this growth factor has antinociceptive effects (87, 88).

Here we report that BDNF is up-regulated after axotomy during the early phase of nerve injury but returns back to normal levels after 2 wk, in fact even below basal levels. However, expression of BDNF after tibial fracture remains elevated after 2 wk. Whether or not BDNF is involved in cold sensation after tibial fracture still needs further investigation.

**Modulation of BDNF in the Hippocampal Formation.** BDNF is a key signaling molecule involved in a wide range of central functions and neuronal plasticity, including modulatory actions in the hippocampus of relevance to learning, memory, neurogenesis, and mood control (89–93). Memory impairment after surgery has also been associated with reduced BDNF expression (94–97). Conditional knockout of BDNF in the forebrain impairs hippocampal-dependent learning in mice (98, 99). However, BDNF overexpression also results in working memory deficits, increased anxiety-like traits, and seizure susceptibility (100).

Here we show distinct BDNF levels in mossy fibers, using two independent antibodies, confirming earlier findings (32, 34, 101), as well as a marked increase after tibial fracture. Surprisingly, this robust increase of BDNF protein in mossy fibers (IHC) is not paralleled by elevated *Bdnf* mRNA levels in granule cell bodies giving rise to mossy fibers (ISH and RT-qPCR). This contrasts with the results in several other brain regions, such as the amygdala, where we found increased levels of both BDNF protein and *Bdnf* mRNA in the basolateral amygdaloid nucleus, as well as increased levels of BDNF-LI in nerve terminals in the central amygdala. At least some of these nerve endings may belong to the projection from the basolateral nucleus (102), but the central nucleus also receives input from other brain regions, such as the parabrachial nucleus (103). Thus, a connection between the cell bodies and nerve endings (shown in Fig. 5F, F4 and F5, respectively) remains to be established. Nevertheless, this may represent a projection, where BDNF is differently regulated compared with the dentate gyrus: The increase in protein is paralleled by an increased transcript level. This would support the idea that the lack of effect on mRNA levels in the granule cell layer after fracture is not an artifact but a unique reaction for hippocampal granular (and other) cells.

It has now been definitively shown that BDNF in mossy fibers is stored in large dense core vesicles (LDCVs) (34) that represent the organelle from which this molecule and neuropeptides in general are released. Our results confirm many previous studies showing that the three peptides enkephalin (104), dynorphin (104), and CCK (105) are expressed in mossy fibers, and also that NPY is not detectable under normal circumstances (106). Three of these are strongly increased in epilepsy models, whereas CCK is down-regulated (34, 35, 107). Dieni et al. (34) have shown that CCK or met-enkephalin can be stored in the same granule cells/mossy fibers as BDNF, but not in the same LDCVs. Our results show that bone fracture apparently is not a sufficiently strong stimulus to modulate neuropeptide expression or, alternatively, that a different circuitry is involved. Taken together, BDNF and neuropeptide molecules synthesized in the same granule cells can be directed into different LDCVs and are thus likely separately regulated and can be individually released.

In a pioneering study, Thoenen et al. (108) reported that the enzyme tyrosine hydroxylase in the adrenal medulla can be transynaptically induced. Similarly, NPY (and dopamine β-hydroxylase) levels are dramatically and acutely increased in sympathetic ganglion cells by preganglionic electrical stimulation (109). With regard to peptides, a possible explanation is that the released peptide has to be compensated by increased synthesis, which is reflected by elevated mRNA levels. A similar principle may apply to BDNF. However, in the present experiments, the increased BDNF levels in mossy fibers are not paralleled by increased *Bdnf* mRNA levels. One possible interpretation is reduced BDNF release, hypothetically leading to acute cognitive problems, as previously

described with this model (110). Reduced BDNF release from mossy fibers has, to our knowledge, not been previously demonstrated and, if true, the underlying mechanism(s) is unknown. Our hypothesis of reduced BDNF release is in line with the concept that increased BDNF levels augment neurogenesis (111, 112); thus, conversely, attenuated BDNF release/signaling should reduce neurogenesis in the dentate subgranular layer, as noted in our model. This is also consistent with our finding of decreased c-Fos expression, as c-Fos and BDNF are known to be coregulated (113–115), as well as with our previous data demonstrating impaired long-term potentiation 24 h after tibial surgery (110), tentatively indicating a transient effect of surgery on neuronal hyperexcitability. However, alternative explanations should be considered, such as posttranslational regulation of BDNF synthesis (116), and synthesis by already-existing BDNF mRNA cannot be excluded.

Other groups have used various cognitive tests and associated postoperative dysfunction with BDNF signaling, but these groups reported decreased BDNF protein levels (95, 96, 117, 118). Even if contrasting our increase in BDNF protein, the functional consequence is the same as ours. Here are some relevant excerpts from these studies: Fidalgo et al. (96) used an intramedullary pin in tibia in mice and recorded decreased BDNF levels in the hippocampus with Western blotting; Hovens et al. (117) used immunohistochemistry and found decreased BDNF levels after 2 and 3 (but not 1) wk in the pyramidal cell layer of rats; Tian et al. (95) carried out abdominal surgery and found decreased BDNF levels in the prefrontal cortex with Western blotting, but only in old mice, and only after 20 mo (not after 6 mo); and Fan et al. (118) exposed the carotid artery of mice and found decreased BDNF levels using ELISA 5 d after surgery. They also provided evidence for decreased neurogenesis, in agreement with our findings. In summary, these four and our studies are characterized by a number of distinct differences that may explain apparently conflicting results: species, injury model, analysis method, and time course.

**Nerve Injury, Pain, and Cognition.** The focus in this study is on neurochemical changes at the spinal level and in the hippocampal formation after bone fracture. The possible relationships between surgery/nerve injury, pain, and cognition are complex (6–13) and involve the hippocampus (12, 119, 120). Moreover, patients already suffering from cognitive deficits are at greater risk for pain chronicity after a painful event, including surgical manipulations (121). This vulnerability may have implications for postoperative recovery, thus predisposing for complications such as delirium and postoperative cognitive dysfunction. These are complex multifactorial mechanisms [recently reviewed in Berger et al. (122)], and a better understanding of the pain-related signatures after orthopedic surgery may lead to novel strategies to prevent these complications. However, some evidence does not support long-term cognitive deficits from surgery in humans (123, 124), and rodent models to date are limited in differentiating between acute delirium and longer-lasting cognitive decline. It should be also noted that important sex differences have been described in pain research (125, 126), and our future studies should take this into account. Overall, because of the differential role of BDNF described in our model and the importance of this molecule in both pain signaling and memory function (89, 127), further studies are warranted to ascertain the circuitry underlying nerve injury, pain, and cognitive impairment.

## Materials and Methods

**Animals.** Wild-type C57BL/6 mice (adult male, 12–14 wk of age) and Cx3cr1<sup>GFP/+</sup> knockin mice (128) (adult male, 12 wk of age) were included in this study (SI Materials and Methods). The experiments were conducted in accordance with Swedish policy for the use of research animals and were approved by a local ethical committee (Stockholms Norra djurförsöksetiska nämnd) and the

Institutional Animal Care and Use Committee of Duke University (protocol A120-15-04).

**Surgery.** Tibial fracture surgery with intramedullary pinning was performed essentially as described (22, 110). Complete transection of the sciatic nerve (axotomy) was performed as previously described (129, 130) (SI Materials and Methods).

**Behavior Tests.** Withdrawal threshold was tested in transparent plastic domes on a metal mesh floor and measured by a logarithmically incremental stiffness of 0.04, 0.07, 0.16, 0.40, 0.60, 1.0, and 2.0 (g) von Frey Filament (Stoelting) combined with an up–down method to assess tactile allodynia (25, 52, 53). The cutoff of a 2.0 hair was selected as the upper limit for testing. For mechanical hyperalgesia, a safety pin was used, and the duration of paw withdrawal was recorded (25, 131). Cold allodynia was tested with a drop of acetone, and the duration of the withdrawal response was recorded (25, 131).

**Tissues.** For IHC, mice were deeply anesthetized, perfused transcardially with 4% (wt/vol) paraformaldehyde containing picric acid, and processed for staining as previously described (130, 132). For ISH, mice were deeply anesthetized and brains were removed immediately and stored in a –80 °C freezer after sacrifice (SI Materials and Methods).

**In Situ Hybridization.** Plasmid DNA containing RNA probes specific for mouse Bdnf was provided by P. Ernros, Karolinska Institutet. ISH was performed as previously described (133) (SI Materials and Methods).

**RT-qPCR.** Quantitative PCR was run on a StepOnePlus Real-Time PCR System (Applied Biosystems) (SI Materials and Methods).

**Immunohistochemistry.** Sections were dried at room temperature for at least 30 min and then incubated with primary antibodies (Table S2) diluted in PBS containing 0.2% (wt/vol) BSA (Sigma) and 0.03% Triton X-100 (Sigma) in a humid chamber at 4 °C for 48 h. Immunoreactivities were visualized using the TSA Plus Kit (PerkinElmer) as previously described (130, 132) (SI Materials and Methods). Of note, all micrographs showing BDNF staining are based on the Amgen antibody (Table S2).

**Imaging and Analysis.** Representative images were acquired from one airy unit pinhole on an LSM 700 confocal laser-scanning microscope (Carl Zeiss). Multipanel figures were assembled using Photoshop CS6 software (Adobe Systems). Quantification and intensity analysis were performed using Adobe Photoshop CS6 software manually and ImageJ version 1.46 software (National Institutes of Health) (SI Materials and Methods).

**Statistics.** The behavior data of the von Frey filament test (nonparametric values) are presented as median with range and assessed by Mann–Whitney test. Behavior data of pinprick and acetone tests, data on immunohistochemistry, and RT-qPCR are presented as mean ± SD or mean ± SEM and analyzed with unpaired *t* test or one-way ANOVA for different time points as indicated in the figure legends by using Prism 6 software (GraphPad Software). The criterion for statistical significance was *P* < 0.05.

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